

# First Dallas Securities

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IARD# 24549

## **Firm Brochure Supplement (Part 2B of Form ADV)**

Dated: August 31, 2021

### **SUPERVISED PERSONS:**

Craig D. Hodges

Gary M. Bradshaw

Robert J. Woodard

All Supervised Personal can be reached at the address and telephone number listed above.

This Brochure Supplement provides information about the above listed Supervised Persons that supplements the First Dallas Securities, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive First Dallas Securities, Inc.' Brochure or if you have any questions about the contents of this supplement.

**Additional information about the above listed Supervised Persons is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

# CRAIG D. HODGES

CRD# 1714289

## Item 2: Education Background and Business Experience

Craig D. Hodges, born 1963, graduated in 1986 from Baylor University in Waco, TX, where he received his Bachelor of Business Administration (“B.B.A”) degree in Finance & Marketing.

### Business Background:

| EMPLOYER  | START DATE     | END DATE | POSITION   |
|---|----------------|----------|--|
| Hodges Capital Management, Inc. of the Hodges Funds | October, 1999  | Current  | Co-Portfolio Manager of the Hodges Funds                                 |
| Hodges Capital Management, Inc.                     | October, 1998  | Current  | Chairman & Investment Advisor Representative                             |
| First Dallas Securities, Inc.                       | November, 1989 | Current  | President, Investment Advisor Representative & Registered Representative |

## Item 3: Disciplinary Information

Craig D. Hodges does not have a disciplinary event disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority’s (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA. You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 4: Other Business Activities

- Craig Hodges is a Partner in HCCC, Inc. and Basin Springs Properties LTD, which are family partnerships.
- Craig Hodges is an investor and board member in VLSIP Technologies, a privately held company.
- Craig Hodges is an investor and member of the LLC for Green Park & Golf Ventures.
- Craig Hodges is a manager, Honyock Holdings, LLC

## Item 5: Additional Compensation

As our responsibility to clients, Craig D. Hodges at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from Hodges Capital Management could create a conflict of interest.

## Item 6: Supervision

Craig D. Hodges is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

## Item 7: Requirement for State-Registered Advisers

N/A

## GARY M. BRADSHAW

CRD # 1389924

### Item 2: Education Background and Business Experience

Gary M. Bradshaw, born 1956, graduated in 1978 from Virginia Tech in Blacksburg, VA where he received his Bachelor of Science (“B.S.”) degree in Forestry. He later in received his Master of Business Administration (“M.B.A”) degree in Business from East Texas State University in Commerce, TX in 1983.

#### Business Background:

| EMPLOYER   | START DATE     | END DATE | POSITION   |
|--|----------------|----------|--|
| Hodges Capital Management, Inc.<br>of the Hodges Funds | December, 2007 | Current  | Co-Portfolio Manager of the Hodges Funds                           |
| Hodges Capital Management, Inc.                        | January, 2001  | Current  | SVP & Investment Advisor Representative                            |
| First Dallas Securities, Inc.                          | February, 1990 | Current  | SVP, Investment Advisor Representative & Registered Representative |

### Item 3: Disciplinary Information

Gary M. Bradshaw does have a disciplinary event disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority’s (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA. You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4: Other Business Activities

Gary M. Bradshaw does not engage in any other investment related business or occupation.

### Item 5: Additional Compensation

As our responsibility to clients, Gary M. Bradshaw at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from Hodges Capital Management could create a conflict of interest.

### Item 6: Supervision

Gary M. Bradshaw is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

### Item 7: Requirement for State-Registered Advisers

N/A

## ROBERT J. WOODARD

CRD # 1264549

### Item 2: Education Background and Business Experience

Robert J. Woodard, born 1959, graduated in 1982 from Baylor University in Waco, TX, where he received his Bachelor of Arts (“B.A.”) degree in Finance/Marketing.

Business Background:

| EMPLOYER                        | START DATE    | END DATE | POSITION                                |
|---------------------------------|---------------|----------|---|
| Hodges Capital Management, Inc. | November 1999 | Current  | SVP & Investment Advisor Representative |
| First Dallas Securities, Inc.   | February 1990 | Current  | SVP & Registered Representative         |

### Item 3: Disciplinary Information

Robert J. Woodard does have a disciplinary event that is disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority’s (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA. You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4: Other Business Activities

Robert J. Woodard does not engage in any other investment related business or occupation.

### Item 5: Additional Compensation

As our responsibility to clients, Robert J. Woodard at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from Hodges Capital Management could create a conflict of interest.

### Item 6: Supervision

Robert J. Woodard is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

### Item 7: Requirement for State-Registered Advisers

N/A